ANNEXURE-C

(As on Financial Year ended on 31.03.2020)

Pursuant to Section 92(3) of the Companies Act, 2013 and Rule 12(1) of the Company (Management & Administration) Rules, 2014

FORM NO. MGT – 9

I. REGISTRATION & OTHER DETAILS:

1	CINI	172411DI 1000DI C042F02
ı,	CIN	L72411DL1990PLC042502
2.	Registration Date	26-12-1990
3.	Name of the Company	KOTHARI FERMENTATION AND BIOCHEM LTD.
4.	Category/Sub-category of the	PUBLIC COMPANY LIMITED BY SHARES
	Company	
5.	Address of the Registered office	16, COMMUNITY CENTRE, 1ST FLOOR, SAKET,
	& contact details	NEW DELHI - 110 017, TEL NO 011 26850004
6.	Whether listed company	YES
7.	Name, Address & contact	ABHIPRA CAPITAL LIMITED
	details of the Registrar &	ABHIPRA COMPLEX, DILKHUSH INDUSTRIAL AREA,
	Transfer Agent, if any.	A-387, G.T. KARNAL ROAD, AZADPUR, DELHI – 110033
		TEL. 42390725, 42390708

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY : (All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

S. No.	Name and Description of main product	National Product Code (NPCMS – 2011)**	% to total turnover of the company
1	YEAST MANUFACTURING NIC - 2008 - 10790 (Manufacture of other food products)	2399600	100%

^{**} National Product Classification for Manufacturing Sector, 2011

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES – N.A.

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

a) Category-wise Share Holding:-

Category of Shareholders	No. of Shar		ne beginning o March-2019]	of the year	No. of Sha	% Change during			
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	the year
A. Shareholding of Promoter and Promoter Group									
(1) Indian									
a) Individual/ HUF	8292200	0	8292200	55.281	8292200	0	8292200	55.281	
b) Central Govt	0	0	0	0	0	0	0	0	

c) State Govt(s)	0	0	0	0	0	0	0	0	
d) Bodies Corp.	2945000	0	2945000	19.633	2945000	0	2945000	19.633	
e) Banks / FI	0	0	0	0	0	0	0	0	
f) Other	0	0	0	0	0	0	0	0	
Total shareholding of Promoter (A)	11237200	0	11237200	74.92	11237200	0	11237200	74.92	
B. Public Shareholding 1. Institutions									
a) Mutual Funds	0	0	0	0					
b) Banks / FI	4100	8700	12800	0.08	1293	8700	9993	.07	.01
c) Central Govt	0	0	0	0.00	0	0	0	0	.01
d) State Govt(s)	0	0	0	0	0	0	0	0	
e) Venture Capital Funds	0	0	0	0	0	0	0	0	
f) Insurance Companies	0	0	0	0	0	0	0	0	
g) FIIs	0	0	0	0	0	0	0	0	
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	
i) Others (specify)	0	0	0	0	0	0	0	0	
Sub-total (B)(1):-	4100	8700	12800	0.08	1293	8700	9993	.07	.01
2. Non - Institutions									
a) Bodies Corp.									
i) Indian	794181	46600	840781	5.60	772175	46600	818775	5.46	.01
ii) Overseas	0	0	0	0	0	0	0	0	
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs.2 lakhs	1131143	835015	1966158	13.10	1139031	815315	1954346	13.03	.08
ii) Individual shareholders holding nominal share capital in excess of Rs.2 lakhs	729246	120900	850146	5.66	761499	120900	882399	5.88	.22
c) Others:									
Directors and its	0	1800	1800	0.01	0	1400	1400	0.01	
relatives									
Non Resident Indians	17908	200	18108	0.12	23141	0	23141	.15	.03
Hindu Undivided Family	68816	0	68816	0.46	70861	0	70861	.47	.01
Overseas Corporate Bodies	0	0	0	0	0	0	00	0	
Foreign Nationals	0	0	0	0	0	0	0	0	
Clearing Members	4191	0	4191	0.02	1885	0	1885	.01	.01
Trusts	0	0	0	0	0	0	0	0	

Foreign Body Corporate	0	0	0	0	0	0	0	0	
Sub-total (B)(2):-	2745485	1004515	3750000	25	2768592	984215	3752807	25.01	
Total Public Shareholding (B)=(B)(1)+ (B)(2)	2749785	1013015	3762800	25.085	2769885	992915	3762800	25.08	
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0		0	
Grand Total (A+B+C)	13986985	1013015	15000000	100	14007085	992915	15000000	100	

b) Shareholding of Promoters:-

S.N	Shareholder's Name	Shareholdi	ng at the begi year	nning of the	Shareho	olding at the end	of the year	% change in
		No. of Shares	% of total Shares of the company	%of Shares Pledged / encumbere d to total shares	No. of Shares	% of total Shares of the company	%of Shares Pledged / encumbered to total shares	shareholdi ng during the year
1	Kavita Kothari	730800	4.872	NA	730800	4.872	NA	NA
2	Siddhant Kothari	3727000	24.847	NA	3727000	24.847	NA	NA
3	Moti Lal Kothari (HUF)	301800	2.012	NA	301800	2.012	NA	NA
4	Pramod Kumar Kothari	714200	4.761	NA	714200	4.761	NA	NA
5	Pramod Kumar Kothari (HUF)	2451100	16.341	10	2451100	16.341	10	NA
6	Sampat Devi Kothari	367300	2.449	NA	367300	2.449	NA	NA
7	Chaudhry Bros. Traders And Builders (P) Ltd.	2945000	19.633	NA	2945000	19.633	NA	NA

c) Change in Promoters' Shareholding:

SI. No.	Particulars	of the year		Cumulative Shareholding during the year		
		No. of Shares % of total shares		No. of Shares	% of total shares	
			of the company		of the company	
1	In the beginning of the year	11237200	74.915	11237200	74.915	

At th		11237200	74.915	11237200	74.915
	Date wise increase/ decrease in Promotors Shareholding during the year specifying the reasons for increase/ decrease (eg. Allotment/ transfer/ bonus/ sweat equity etc.)	_	1	_	_

d) Shareholding Pattern of Top Ten Shareholders:

S. No	For Each of the Top 10 Shareholders		ding at the g of the year		ng at the end ne year	Date as and when purchased/ sold shares during the year		% change in shareholding during the year	
		No. of Shares	% of total Shares of the	No. of Shares	% of total Shares of				
			company		the company	Date	No. of Shares		
1	Glocom Impex Pvt. Ltd.	7,43,000	4.953	7,43,000	4.953	NA	0	NA	
2	Deepinder Singh Poonian	2,26,715	1.511	2,26,715	1.511	NA	0	NA	
3	Ranjit Kumar Baid	1,40,000	0.933	1,40,000	0.933	NA	0	NA	
4	Deepa Surana	1,20,900	0.806	1,20,900	0.806	NA	0	NA	
5	Sajjan Devi Baid	1,10,400	0.736	1,10,400	0.736	NA	0	NA	
6	Sushil Kumar Borar	76,110	0.507	76,110	0.507	NA	0	NA	
7	Vineet Kumar Srinet	49514	.330	49514	0.330	NA	0	NA	
8	Sagar Jalani	41000	0.27	41000	0.27	NA	NA	NA	
9	Rajeev Jawahar	13092	0.09	39329	0.26	05.04.2019	(+)3054	.17	
						12.04.2019	(+)1752		
						19.04.2019	(+)877		
						26.04.2019	(+)3352		
						03.05.2019	(+)6000		
						17.05.2019	(+)2000		
						24.05.2019	(+)233		
						31.05.2019	(+)1571		
						19.07.2019	(+)1000		
						09.08.2019	(+)3699		
						16.08.2019	(+)1		
						23.08.2019	(+)121		
						13.09.2019	(+)1000		
						28.02.2020	(+)1577		
10	Raj Kumar Lohia	26458	0.176	26458	0.176	NA	NA	NA	

e) Shareholding of Directors and Key Managerial Personnel:

S.	Shareholding of each Directors	Shareholding at the		Cumulative Shareholding during the year			
No.	and each Key Managerial	beginning of the year					
	Personnel	No. of	% of total	Date	No. of shares	% of total	

		shares	shares of the company			shares of the company
1	1. Mr. Pramod Kumar Kothari					
1	In the beginning of the year	714200	4.76%	_	714200	4.761%
2	Date wise increase/ decrease in Promotors Shareholding during the year specifying the reasons for increase/ decrease (eg. Allotment/ transfer/ bonus/ sweat equity etc.)	_	-	-	-	
At the	e end of the year				714200	4.7613%
2	2. Mrs. Kavita Devi Kothari					
1	In the beginning of the year	730800	4.87 %	_	730800	4.872 %
2	Date wise increase/ decrease in Promotors Shareholding during the year specifying the reasons for				_	_
	increase/ decrease (eg. Allotment/ transfer/ bonus/ sweat equity etc.)		_	_		
	e end of the year				7,30,800	4.8720%
	3. Mr. Ratan Lal Dudheria (Director i	-				
1	In the beginning of the year	400	0.002%	_	400	0.002%
2	Date wise increase/ decrease in Promotors Shareholding during the year specifying the reasons for increase/ decrease (eg. Allotment/ transfer/ bonus/ sweat equity etc.)			-		_
At the	e end of the year				400	0.002%
	4. Mr. Hemendra Patsingh Dugar					
1. 2.	In the beginning of the year Date wise increase/ decrease in Promotors Shareholding during the year specifying the reasons for	900	0.006%		900	0.006%
	increase/ decrease (eg. Allotment/	_		_		
	transfer/ bonus/ sweat equity etc.)					

v. **INDEBTEDNESS** - Indebtedness of the Company including interest outstanding/accrued but not due for payment :-

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness (Rs. In lakhs)
In	debtedness at the beginn	ing of the Financial \	rear ear	
i) Principal Amount	2152.06	174.95	0.00	2327.01
ii) Interest due but not paid	0.00	0.00	0.00	0.00
iii) Interest accrued but not due	0.00	00.00	0.00	00.00
Total (i+ii+iii)	2152.06	174.95	0.00	2327.01

· Addition	1111.78	411.43	0.00	1523.21	
· Reduction	-254.31	-191.24	0.00	-445.55	
Net Change	857.47	220.19	0.00	1077.66	
Indebtedness at the end of the Financial Year					
i) Principal Amount	3009.53	395.14	0.00	3404.67	
ii) Interest due but not paid	0.00	0.00	0.00	0.00	
iii) Interest accrued but not due	0.00	00.00	0.00	00.00	
Total (i+ii+iii)	3009.53	395.14	0.00	3404.67	

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

			(An	nt. in Rs./Lacs)	
S. No	Particulars of Remuneration	Name of MD/WTD/ Manager		Total Amount	
		Mr. Pramod Kumar Kothari	Mrs. Kavita Devi Kothari		
1.	Gross salary				
	a) Salary as per provisions contained in section 17(1) of the Income-tax Act	30.00	27.00	57.00	
	b) Value of perquisites u/s 17(2) of the Income-tax Act, 1961	NA	NA	NA	
	c) Profits in lieu of salary under section 17(3) of the income-tax act, 1961	NA	NA	NA	
2.	Stock Option, Sweat Equity, Commission	NA	NA	NA	
	Total (A)	30.00	27.00	57.00	
	Ceiling as per the New Act	57.36	57.36	114.73	

B. Remuneration to other directors :

No remuneration is paid to Other Directors.

C. Remuneration to Key Managerial Personnel Other than MD/ MANAGER/ WTD

(Amt. in Rs./Lacs)

S. No.	Particulars of Remuneration	Key Manager	Total Amount	
		Mrs. Kavita Tanwar (CS)	Mr. Arun Kumar Sekhani (CFO)	
1	Gross salary			
	(a) Salary	5.50	6.55	12.05
	(b) Value of perquisites	NA	NA	NA
	(c) Profits in lieu of salary under section 17(3) of the Income-tax Act, 1961	NA	NA	NA

2	Stock Option, Sweat Equity, Commission, Others	NA	NA	NA
	Total (A)	5.50	6.55	12.05

PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Company/ Director/Other Officer in default: None

